

PTOL-413A (03-04)
Approved for use through 07/31/2006, OMB 0301-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 09/645,795 First Named Applicant: Robert Wallach
Examiner: Vanel Frenel Art Unit: 3626 Status of Application: Pending

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NOV 12 2004

Tentative Participants:
(1) Mr. Robert Wallach (2) Mr. Jasper Jackson
(3) Mr. Jeff Brandt Reg. No. 31,490 (4) Mr. Walter Hanchuk Reg. No. 35,179

Proposed Date of Interview: November 18, 2004 Proposed Time: 3:30 PM (AM/PM)

Type of Interview Requested:
(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO
If yes, provide brief description: _____

Issues To Be Discussed

| Issues (Rej., Obj., etc) Rej. in OA dated | Claims/ Fig. #s | Prior Art | Discussed | Agreed | Not Agreed |
|---|--------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| (1) <u>05/18/2004</u> | <u>Claims 1-77</u> | <u>Joao US 6,347,302</u> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (2) _____ | _____ | <u>Bell US 6,574,606</u> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (3) _____ | _____ | <u>Ryan US 6,304,859</u> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (4) _____ | _____ | _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

Applicants will be discussing the cited references and aspects of the pending claims that are believed to be patentably distinct from the cited references.

An interview was conducted on the above-identified application on _____.

NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Applicant/Applicant's Representative Signature _____

Examiner/SPE Signature _____

Typed/Printed Name of Applicant or Representative _____

Registration Number, if applicable _____

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 121 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. These will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT
Docket No. 4551-4003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Wallach, et al. Group Art Unit: 3626
Serial No.: 09/645,795 Examiner: Frenel, Vanel
Filed: August 24, 2000
For: INSURANCE INCENTIVE PROGRAM FOR PROMOTING THE PURCHASE
OR LEASE OF AN AUTOMOBILE AFTER AN EXPIRATION OF A LEASE

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

Sir:

I hereby certify that the attached Applicant Initiated Interview Request Form is
being transmitted on the date shown below to the Examiner Vanel Frenel of Group No. 3626 at
the following facsimile number: 703-305-7687.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: November 12, 2004

By:

Daniel C. Sheridan

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